

# Program # 60005 - MCSO Professional Standards

Version 6/13/2013 s

Lead Agency: Sheriff Program Contact: Harry Smith

Program Offer Type: Support

**Related Programs:** 

**Program Characteristics:** 

#### **Executive Summary**

Professional Standards consists of the Inspections Unit and the Internal Affairs Unit (IAU). Inspections ensures the agency's operations and staff conform to established policies, procedures, existing laws, and ethical standards through scheduled and unscheduled inspections and audits. IAU investigates allegations of employee misconduct to ensure accountability of MCSO public employees. Professional Standards is headed by an Inspector who reports directly to the elected Sheriff.

# **Program Description**

Professional Standards ensures accountability through continual inspections and investigations of MCSO operations and personnel. The Inspections Unit develops policies and procedures based on public safety industry best practices. The unit inspects and audits MCSO operations and correctional facilities, facilitates and monitors safety committees, conducts accident reviews, and provides Life Safety education and training. The Inspections Unit conducts performance audits of specific operations to discover and correct policy deficiencies. The Internal Affairs Unit conducts independent investigations of allegations of employee misconduct to ensure the integrity of and confidence in MCSO employees. Each complaint is initially evaluated by a Pre-Investigative Assessment. IAU investigations are conducted in accordance with established due process requirements to ensure accountability of not only employees but the IAU process itself.

MCSO is an agency whose sworn members are authorized by law to arrest and confine citizens against their will. Its sworn members are authorized under justifiable circumstances to take human life. With that enormous responsibility and potential liability, the public deserves assurance that the agency is conducting its mandated duties lawfully, efficiently, and in the most cost-effective manner possible. Professional Standards, operating independent of the chain-of-command and reporting directly to the elected official in charge of the agency, ensures that accountability.

#### **Performance Measures**

| Measure<br>Type | Primary Measure   | Previous<br>Year Actual<br>(FY11-12) | Current<br>Year<br>Purchased<br>(FY12-13) | Current<br>Year<br>Estimate<br>(FY12-13) | Next Year<br>Offer<br>(FY13-14) |
|-----------------|---|--------------------------------------|---|--|---------------------------------|
| Output          | Total audits of Agency properties and assets and total inquiries      | 82                                   | 80  | 132                                      | 120                             |
| Outcome         | Number of processed complaints that required a full IAU investigation | 17                                   | 12  | 30                                       | 35                              |
| Output          | Number of complaints processed via Pre-Investigative Assessment       | 148                                  | 0   | 140                                      | 140                             |
| Outcome         | Number of cases initiated to investigate leave abuse                  | 5                                    | 2   | 7  | 5                               |

### **Performance Measure - Description**

# Measure Changed

- "Total internal audits of agency properties, processes, assets and total inquiries" includes: individual narcotics case audits, weapons audits, weapons destruction processing, program unit audits, property audits (county owned/seized), safety inspections, and quality control audits. The "total inquiries" includes investigation of and response to security threats to Multnomah Building occupants and County employees. Data counted from audit files.
- "Number of processed complaints that required a full IAU investigation" indicates the number of complaints that rise to a level of seriousness that may result in formal discipline. Data is taken from IAU databases.
- "Number of complaints processed via Pre-Investigative Assessment" is a new measure. This measure indicates the overall number of complaints received by IAU. All complaints receive serious attention and a preliminary inquiry is conducted to determine the need for a full administrative investigation. Data is taken from IAU databases.
- "Number of cases initiated to investigate leave abuse" indicates the effects of efforts to combat issues surrounding patterns of sick leave abuse in order to ensure attendance accountability as identified in previous audits and inquiries. Data taken from IAU databases.

Audits and processed complaints have increased due to the addition of security threat assessments and inquiries regarding problem people at County facilities as a function. Also, the Sheriff and Professional Standards lieutenant are focusing on increasing the agency's level of accountability though audits and the processing of complaints.

## **Legal/Contractual Obligation**

Labor contracts: Deputy Sheriff's Assn.: Article 19 Local 88: Article 17 Mult. Cty. Corr. Deputies Assn: Article 18

MCSO Agency manual

# Revenue/Expense Detail

|                      | Proposed General<br>Fund | Proposed Other<br>Funds | Proposed General<br>Fund | Proposed Other Funds |
|----------------------|--------------------------|-------------------------|--------------------------|----------------------|
| Program Expenses     | 2013                     | 2013                    | 2014                     | 2014                 |
| Personnel            | \$928,886                | \$0                     | \$915,564                | \$0                  |
| Contracts            | \$69,872                 | \$0                     | \$71,444                 | \$0                  |
| Materials & Supplies | \$26,505                 | \$0                     | \$27,102                 | \$0                  |
| Internal Services    | \$77,760                 | \$0                     | \$88,878                 | \$0                  |
| Total GF/non-GF:     | \$1,103,023              | \$0                     | \$1,102,988              | \$0                  |
| Program Total:       | \$1,10                   | 03,023 \$1,102,988      |                          | 2,988                |
| Program FTE          | 6.40                     | 0.00                    | 6.00                     | 0.00                 |
| Program Revenues     |                          |                         |                          |                      |
| Total Revenue:       | \$0                      | \$0                     | \$0                      | \$0                  |

# **Explanation of Revenues**

# **Significant Program Changes**

Significantly Changed

Last year this program was: #60005, MCSO Professional Standards

The .40 FTE Corrections Deputy position, along with the 1.0 FTE MCSO Program Administrator position in Program Offer 60037, have been cut to fund a Corrections Lieutenant's position that will be assigned to Corrections Administration.

At the FY 14 budget adoption hearing, the Board approved an amendment that provided on the first quarter (\$748,014) of General Fund overtime in the Sheriff's Office adopted budget. The overtime for the remaining three quarters of the year (\$2,244,042) was placed in General Fund contingency. This action reduced this program's requested overtime funds by \$14,713. These funds will be appropriated quarterly through budget modifications with the expectation of a quarterly report by the Sheriff's Office in front of the Board on issues surrounding overtime, hiring and retirements.